

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604, 141-07 20th Avenue • Whitestone, NY 11357
Tel: (718) 357-8836 • Fax: (718) 357-8615 e-mail: kitchanlaw@aol.com

FACSIMILE TRANSMISSION

PLEASE DELIVER THE FOLLOWING PAGES

TO : OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS

COMPANY : COMMISSIONER FOR PATENTS, P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

FAX NO. : (703) 746-9195

FROM : ALBERT WAI-KIT CHAN

DOCKET : CHEUNG, Nai-Kong V. for THERAPY-ENHANCING
GLUCAN, U.S. Serial No. 10/621,027, Filed July 16, 2003,
continuation-in-part of International Application No.
PCT/US02/01276, Filed January 15, 2002, claiming benefit
of U.S. Serial No. 60/261,911, filed on 16 January 2001 –
SK939-PCT; Our Dkt. #639-B-PCT-US

JCWS/SCS 12 JAN 2004

JAN-09-2004 15:52 FROM: LAW OFFICES-A.CHAN 7183578615

TO:

P.001

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604, 141-07 20th Avenue • Whitestone, NY 11357
Tel: (718) 357-8836 • Fax: (718) 357-8615 e-mail: kitchanlaw@aol.com

FACSIMILE TRANSMISSION

PLEASE DELIVER THE FOLLOWING PAGES

TO : OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS

COMPANY : COMMISSIONER FOR PATENTS, P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

FAX NO. : (703) 746-9195

FROM : ALBERT WAI-KIT CHAN

DOCKET : CHEUNG, Nai-Kong V. for THERAPY-ENHANCING
GLUCAN, U.S. Serial No. 10/621,027, Filed July 16, 2003,
continuation-in-part of International Application No.
PCT/US02/01276, Filed January 15, 2002, claiming benefit
of U.S. Serial No. 60/261,911, filed on 16 January 2001 –
SK939-PCT; Our Dkt. #639-B-PCT-US

TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET: 7

DATE : January 9, 2004

* IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL BACK AS SOON
AS POSSIBLE TO (718) 357-8836.

Message

Please confirm receipt of this facsimile via return facsimile or e-mail.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS TRANSMISSION MAY CONTAIN INFORMATION THAT IS CONFIDENTIAL UNDER THE CLIENT AND ATTORNEY RELATIONSHIP. IF THE READER OF THIS MESSAGE IS NOT A DESIGNATED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO A DESIGNATED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF UPON READING THIS DOCUMENT YOU HAVE REASON TO BELIEVE THAT THE DOCUMENT WAS INADVERTENTLY SENT TO YOU, PLEASE NOTIFY US IMMEDIATELY BY COLLECT TELEPHONE CALL AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Dkt. #639-B-PCT-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nai-Kong V. CHEUNG

U.S. Serial No.: 10/621,027

Filed : July 17, 2003

For : THERAPY-ENHANCING GLUCAN

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, NY 11357

January 9, 2004

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

FACSIMILE CERTIFICATE
IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

I hereby certify that this paper is being sent on the date indicated above and is addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Farieza Juman

Printed Name: Farieza Juman

Respectfully submitted,

Albert Wai-Kit Chan

Albert Wai-Kit Chan

Registration No. 36,479

Attorney for Applicant

Law Offices of

Albert Wai-Kit Chan, LLC

World Plaza, Suite 604

141-07 20th Avenue

Whitestone, NY 11357

Tel: (718) 357-8836

Fax: (718) 357-8615

e-mail: kitchanlaw@aol.com

Dkt. #639-B-PCT-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nai-Kong V. CHEUNG

U.S. Serial No.: 10/621,027

Filed : July 17, 2003

For : THERAPY-ENHANCING GLUCAN

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, New York 11357

January 9, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

COMMUNICATION TO CORRECT DECEMBER 15, 2003 FILING RECEIPT

Applicant hereby files this Communication to Correct December 15, 2003 Filing Receipt which was issued by the United States Patent and Trademark Office. A copy of December 15, 2003 Filing Receipt is attached as **Exhibit A** (2 pages). There is no deadline for filing this Communication, so this Communication is being timely filed.

The December 15, 2003 Receipt is missing the Applicant's middle initial and Residence information. The correct name and the city and country of residence for applicant should read: Nai-Kong V. Cheung, Purchase, New York.

Applicant respectfully requests that the correct name be amended and residence be added, and that an updated Filing Receipt be issued to reflect these changes.

Law Offices of Albert Wai-Kit Chan, LLC

World Plaza, Suite 604, 141-07 20th Avenue • Whitestone, NY 11357
Tel: (718) 357-8836 • Fax: (718) 357-8615 e-mail: kirchanlaw@aol.com

FACSIMILE TRANSMISSION

PLEASE DELIVER THE FOLLOWING PAGES

TO : OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS

COMPANY : COMMISSIONER FOR PATENTS, P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

FAX NO. : (703) 746-9195

FROM : ALBERT WAI-KIT CHAN

DOCKET : CHEUNG, Nai-Kong V. for THERAPY-ENHANCING
GLUCAN, U.S. Serial No. 10/621,027, Filed July 16, 2003,
continuation-in-part of International Application No.
PCT/US02/01276, Filed January 15, 2002, claiming benefit
of U.S. Serial No. 60/261,911, filed on 16 January 2001 –
SK939-PCT; Our Dkt. #639-B-PCT-US

TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET: 10

DATE : January 12, 2004

* IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL BACK AS SOON
AS POSSIBLE TO (718) 357-8836.

Message

Please confirm receipt of this facsimile via return facsimile or e-mail.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS TRANSMISSION MAY CONTAIN INFORMATION THAT IS CONFIDENTIAL UNDER THE CLIENT AND ATTORNEY RELATIONSHIP. IF THE READER OF THIS MESSAGE IS NOT A DESIGNATED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO A DESIGNATED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF UPON READING THIS DOCUMENT YOU HAVE REASON TO BELIEVE THAT THE DOCUMENT WAS INADVERTENTLY SENT TO YOU, PLEASE NOTIFY US IMMEDIATELY BY COLLECT TELEPHONE CALL AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

Dkt. #639-B-PCT-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nai-Kong V. CHEUNG

U.S. Serial No.: 10/621,027

Filed : July 16, 2003

For : THERAPY-ENHANCING GLUCAN

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, NY 11357

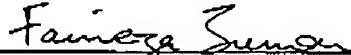
January 12, 2004

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

FACSIMILE CERTIFICATE
IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

I hereby certify that this paper is being sent on the date indicated above and is addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Printed Name: Farieza Juman

Respectfully submitted,
Albert Wai-Kit Chan
Albert Wai-Kit Chan
Registration No. 36,479
Attorney for Applicant
Law Offices of
Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, NY 11357
Tel: (718) 357-8836
Fax: (718) 357-8615
e-mail: kitchanlaw@aol.com

Dkt. #639-B-PCT-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nai-Kong V. CHEUNG

U.S. Serial No.: 10/621,027

Filed : July 16, 2003

For : THERAPY-ENHANCING GLUCAN

Law Offices of Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, New York 11357

January 12, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

COMMUNICATION TO CORRECT DECEMBER 15, 2003 FILING RECEIPT

Applicant hereby files this Communication to Correct December 15, 2003 Filing Receipt which was issued by the United States Patent and Trademark Office. A copy of December 15, 2003 Filing Receipt is attached as Exhibit A (2 pages). There is no deadline for filing this Communication, so this Communication is being timely filed.

The December 15, 2003 Receipt is missing the Applicant's middle initial and Residence information. The correct name and the city and country of residence for applicant should read: Nai-Kong V. Cheung, Purchase, New York.

The above-referenced application was filed on July 16, 2003, but the Filing Date on the return postcard was listed incorrectly as July 17, 2003. As proof of the correct Filing Date, Applicant hereby submits a copy of the history of the Express Mail shipment showing acceptance of the package on

Applicant : Nai-Kong V. CHEUNG
U.S. Serial No.: 10/621,027
Filed : July 16, 2003
Page : 2

July 16, 2003, in Flushing, New York hereto attached as Exhibit B (3 pages)

Applicant respectfully requests that the name of the inventor be amended, the residence of the inventor be added, the Filing Date be corrected, and that an updated Filing Receipt be issued to reflect these changes.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone at the number provided below.

No fee is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

Albert Wai-Kit Chan

Albert Wai-Kit Chan
Registration No. 36,479
Attorney for Applicant
Law Offices of
Albert Wai-Kit Chan, LLC
World Plaza, Suite 604
141-07 20th Avenue
Whitestone, New York 11357
Tel: (718) 357-8836
Fax: (718) 357-8615
E-mail: kitchanlaw@aol.com

Exhibit A

Page 1 of 2

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. BOX 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/621,027	07/17/2003 07/16/2003	1623	417	#639-B-PCT-US	36	20	4

CONFIRMATION NO. 2089**FILING RECEIPT**

 OC000000011500044

Law Offices of Albert Wai-Kit Chan, LLC
 World Plaza, Suite 604
 141-07 20th Avenue
 Whitestone, NY 11357

Date Mailed: 12/15/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nai Kong Cheung, Residence Not Provided; Nai-Kong V. Cheung, Purchase, NY

Assignment For Published Patent Application

SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH;

Domestic Priority data as claimed by applicant

This application is a CIP of PCT/US02/01276 01/15/2002
 which claims benefit of 60/261,911 01/16/2001

Foreign Applications

If Required, Foreign Filing License Granted: 12/15/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Therapy-enhancing glucan

Page 2 of 2

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Exhibit B

USPS - Track & Confirm

Page 1 of 1



Track & Confirm

Current Status

You entered EV33 3071 731U S

Your item was delivered at 10:56 am on July 17, 2003 in ARLINGTON, VA 22202 to PATENT OFFICE. The item was signed for by J STECKAL.

[Shipment Details >](#)

Track & Confirm

Enter label number:

[Track & Confirm FAQs](#)

Notification Options

► Request Proof of Delivery [What is this?](#) [Go >](#)



POSTAL INSPECTORS
Preserving the Trust

[site map](#) [contact us](#) [government services](#)
Copyright © 1999-2002 USPS. All Rights Reserved. [Terms of Use](#) [Privacy Policy](#)

USPS - Track & Confirm

Page 1 of 1



Track & Confirm

Shipment Details

You entered EV33 3071 731U S

Your item was delivered at 10:56 am on July 17, 2003 in ARLINGTON, VA 22202 to PATENT OFFICE. The item was signed for by J STECKAL.

Here is what happened earlier:

- ARRIVAL AT UNIT, July 17, 2003, 7:08 am, DULLES, VA 20102
- ENROUTE, July 16, 2003, 7:30 pm, FLUSHING, NY 11351
- ACCEPTANCE, July 16, 2003, 5:00 pm, FLUSHING, NY 11351

Notification Options

► Request Proof of Delivery [What is this?](#) [Go >](#)



POSTAL INSPECTORS
Preserving the Trust

[site map](#) [contact us](#) [government services](#)
Copyright © 1999-2002 USPS. All Rights Reserved. [Terms of Use](#) [Privacy Policy](#)

Track & Confirm

Enter label number:

[Track & Confirm FAQs](#)